



Karuna

Policy on Circulation of Unauthenticated News – Blog Chat Policy

Prohibition on circulation of unauthenticated News: To Protect Investors to Stop Unauthenticated News Circulation by the Company's Employees/Temporary Staff or other dealing person and by company Infrastructure.

As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, M/s. Karuna Financial Services Pvt. Ltd. implements code of conduct for communicating through various modes of communication. Company Directors/ Officers /Employees/ Temporary Staff /Voluntary Workers are prohibited from:

1. Circulation of unauthenticated news related to various Scrips in blogs/chat forums/ email etc.
2. Encouraging or circulating rumors or unverified information obtained from client, industry, any trade or any other sources without verification
3. Either forwarding any market related news received in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance Officer.

Our Company Directors/ Officers / Employees/ Temporary Staff /Voluntary Workers are restricted from circulation of rumors or unverified information obtained from client, Industry, any trade or other sources without verification

The Company Directors/ Officers / Employees/ Temporary Staff /Voluntary Workers will have to seek prior approval from Compliance Officer of M/s. Karuna Financial Brokers Pvt. Ltd. before forwarding any market related news received by them either in their official mail/personal mail/blog or in any other manner and all the reporting with regard to violation of the same shall be done to the designated Compliance Officer

If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for disciplinary action.

Access to Blogs/chat forums/messenger sites etc. has been restricted by M/s. Karuna Financial Services Pvt Ltd and is not allowed.

This code can be modified/amended/alterd as required from time to time in compliance of the relevant provisions/regulations in this regard.

For Karuna Financial Services Pvt. Ltd. For Karuna Financial Services Pvt. Ltd.

Compliance Officer

Amit Joshi

Compliance Officer

CIN No. U67120WB1994PTC065573

KARUNA FINANCIAL SERVICES PVT. LTD.

Member : National Stock Exchange / Bombay Stock Exchange

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